

<u>Annexure – B</u>

Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites

Data for month ending Jun 2025

S N	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6		7	8
1	Directly from Investors	-	-	-	-		-	-
2	SEBI (SCORES 2.0)	-	-	-	-	-		-
3	Stock Exchanges	-	-	-	-	-		-
4	Other	-	-	-	-		-	-
	Sources (if any)							
5	Grand Total	-	-	-	-		-	-

hpmgshares.com

HPMG Shares and Securities Pvt. Ltd. B-201/202 Rajkamal , S V Road,Next to Shreeji Arcade, Kandivali West, Mumbai - 400 067. Tel :(B)+91 22 62317600, (F)+91 22 62317689 Email : info@hpmgshares.com CIN NO.:U67190MH2007PTC176790 SEBI Regd.No.: DP CDSL :IN -DP-163-2015 NSE/BSE/MSEIL: INZ000187530 Merchant Banker Category I : INM000012607



Trend of monthly disposal of complaints

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	April - 2025	-	-	-	-
2	May - 2025	-	-	-	-
3	June - 2025	-	-	-	-
4	July - 2025				
5	August - 2025				
6	September - 2025				
7	October - 2025				
8	November - 2025				
9	December - 2025				
10	January – 2026				
11	February – 2026				
12	March - 2026				
	Grand Total	-	-	-	-

*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.



hpmgshares.com

HPMG Shares and Securities Pvt. Ltd. B-201/202 Rajkamal , S V Road,Next to Shreeji Arcade, Kandivali West, Mumbai - 400 067. Tel :(B)+91 22 62317600, (F)+91 22 62317689 Email : info@hpmgshares.com CIN NO.:U67190MH2007PTC176790 SEBI Regd.No.: DP CDSL :IN -DP-163-2015 NSE/BSE/MSEIL: INZ000187530 Merchant Banker Category I : INM000012607



Trend of annual disposal of complaints

SN	Year	Carried forward from previous year	Received During the year	Received During the year	Pending at the end of the year
1	2021-22	-	-	-	-
2	2022-23	-	-	-	-
3	2023-24	-	-	-	-
4	2024-25	-	-	-	-
5	2025-26	-			
	Grand Total	0	0	0	0

hpmgshares.com

HPMG Shares and Securities Pvt. Ltd. B-201/202 Rajkamal , S V Road,Next to Shreeji Arcade, Kandivali West, Mumbai - 400 067. Tel :(B)+91 22 62317600, (F)+91 22 62317689 Email : info@hpmgshares.com CIN NO.:U67190MH2007PTC176790 SEBI Regd.No.: DP CDSL :IN -DP-163-2015 NSE/BSE/MSEIL: INZ000187530 Merchant Banker Category I : INM000012607